

Securities Business Continuity Plan

Effective Date:
January, 1, 2025

BLV Securities ("the Firm") is committed to maintaining business continuity in the event of a significant disruption. The Financial Industry Regulatory Authority (FINRA) requires each member to disclose to clients how its business continuity plan addresses potential disruptions and the firm's intended response to such events.

Plan Overview:

We have developed a Business Continuity Plan (BCP) that outlines how BLV Securities will respond to events that significantly disrupt our operations. Given that the timing and impact of disasters or disruptions can be unpredictable, our response will be flexible based on the circumstances. The following information outlines how we intend to manage these situations and maintain client services.

If a significant business disruption occurs, and you are unable to contact us at our main line (551) 866-0525, please use our or visit our website www.blvsecurities.com.

Key Contacts

In the event of a business disruption, you may contact our CEO, Michael McNulty, at admin@blvsecurities.com, who will act as our designated records maintenance representative.

Business Continuity Approach:

Our BCP addresses the following key areas:

- **Data Backup and Recovery:** We maintain secure backups of our critical data to ensure continuity of operations.
- **Operational and Financial Assessments:** We will promptly assess any disruption to protect the firm's financial stability and resume operations.
- **Alternative Communication:** We have systems in place for alternative communication with clients, employees, and regulators if normal communication channels are affected.
- **Alternate Locations:** In the event our primary office is inaccessible, we will relocate to an alternate facility outside the affected area.
- **Vendor and Counterparty Management:** We will coordinate with critical suppliers, banks, and counterparties to ensure continued services and transactions.
- **Regulatory Reporting:** Our plan includes protocols for maintaining communication with regulators and ensuring compliance with reporting requirements.
- **Customer Access to Funds and Securities:** We are dedicated to ensuring that, even in the case of severe disruptions, customers retain access to their funds and securities.

No Current Clearing Relationship:

At present, BLV Securities does not have a clearing relationship. However, we are in the process of establishing a clearing relationship and intend to have one in place in the near future. Upon engaging with a clearing firm, we will update our Business Continuity Plan accordingly and provide clients with a revised plan outlining how the clearing firm will assist in providing prompt access to funds, entering orders, and processing transactions during business disruptions.

Types of Business Disruptions:

Disruptions may range from those affecting only our firm, our building, the surrounding business district, or an entire region.

In each case, our plan is designed to resume business operations as soon as possible:

- Disruption to our firm or building: We will relocate operations to a local site and expect to resume business within five business days.
- Wider disruptions: If the disruption impacts the entire district, city, or region, we will transfer operations to a location outside the affected area and expect to recover and resume business within five business days.

Contact Us

For any questions or concerns regarding how our services are provided or if you need further information, please contact your representative or reach out to us at:

Main Office Phone: (551) 866-0525

Email: admin@blvsecurities.com

Website: www.blvsecurities.com